

## **Required Forms (Senior Mini Grant and Section 5310 Grantees)**

See the following pages.

## **EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATE**

---

The Equal Employment Opportunity (EEO) provisions of the Agreement SANDAG awarded to GRANTEE requires GRANTEE to provide equal employment to all people, without regard to race, color, religion, sex, or national origin.

GRANTEE hereby certifies that it will comply with the provisions of the SANDAG Equal Employment Opportunity Program (SANDAG Board Policy No. 007), and rules and regulations adopted pursuant thereto, Title VI of the Civil Rights Act of 1964, the California Fair Employment Practices Act, and any other applicable federal and state laws and regulations relating to equal employment opportunity, including laws and regulations hereinafter enacted.

Furthermore, GRANTEE hereby certifies that it

has  has not

been found, adjudicated, or determined to have violated any laws of Executive Orders relating to employment discrimination or affirmative action including, but not limited to, Title VII of the Civil Rights Act of 1964, as amended, (42 U.S.C. 2000[e] et seq.); the Equal Pay Act (29 U.S.C. 206[d]); Executive Order (EO) 10925 (Kennedy, 1961), EO 11114 (Kennedy, 1963), or EO 11246 (Johnson, 1965); or the California Fair Employment and Housing Act (Government Code 12460 et seq.); by any federal or California court or agency, including but not limited to the Equal Employment Opportunity Commission, the Office of Federal Contract compliance Programs, and the California Fair Employment and Housing Commission.

If yes, please explain the circumstance.

Date: \_\_\_\_\_

GRANTEE: \_\_\_\_\_

By: \_\_\_\_\_

Signature

Title: \_\_\_\_\_

## **Required Federal Forms**

---

See the following pages.

## **CERTIFICATE OF NONDISCRIMINATION ASSURANCES**

---

SANDAG Section 5310 grant agreement with Subrecipient (AGREEMENT) includes as an attachment, and incorporates by reference, the "Fiscal Year 2020 Annual List of Certifications and Assurances for FTA Grants and Cooperative Agreements" (Assurances). The Assurances include, but are not limited to, Standard Assurance No. 1.1, Paragraph (f), which requires that the Recipient (SANDAG) and any subrecipients will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (1) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin, as effectuated by U.S. DOT regulation 49 C.F.R. Part 21; (2) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681–1683, and 1685–1686), which prohibits discrimination on the basis of sex, as effectuated by U.S. DOT regulation 49 C.F.R. Part 25; (3) Section 5332 of the Federal Transit Law (49 U.S.C. § 5332), which prohibits any person being excluded from participating in, denied a benefit of, or discriminated against under, a project, program, or activity receiving financial assistance from FTA because of race, color, religion, national origin, sex, disability, or age. (4) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicaps, as effectuated by U.S. DOT regulation 49 C.F.R. Part 27; (5) The Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101–6107), which prohibits discrimination on the basis of age; (6) The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (7) The comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91–616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (8) Sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§ 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (9) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental, or financing of housing; (10) Any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (11) the requirements of any other nondiscrimination statute(s) which may apply to the application.

### **SUBRECIPIENT'S ASSURANCES**

Subrecipient, for itself, its assignees, and successors in interest (referred to as the "Subrecipient"), certifies that: Subrecipient is in compliance with the Assurances.

Name of SUBRECIPIENT: \_\_\_\_\_

Printed Name and Title of Signatory: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

# BUY AMERICA CERTIFICATE (FTA)

## CERTIFICATE OF COMPLIANCE WITH BUY AMERICA ROLLING STOCK REQUIREMENTS

### ALTERNATIVE A (FTA)

SUBRECIPIENT hereby certifies that it will comply with the requirements of: 49 U.S.C. 5323(j) and the applicable regulations in 49 C.F.R. 661 et. seq.

Name of SUBRECIPIENT: \_\_\_\_\_

Printed Name and Title of Signatory: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

### ALTERNATIVE B (FTA)

SUBRECIPIENT hereby certifies that it cannot comply with the requirements of: 49 U.S.C. 5323(j) and the applicable regulations in 49 C.F.R. 661 et. seq., but it may qualify for an exception to the requirement consistent with 49 U.S.C. 5323(j)(2)(C) and the regulations in 49 C.F.R. 661.7 based on the information below.

Item No.	Description	Type of Waiver Requested by No. <sup>1</sup>	Indicate Rolling Stock or Nonrolling Stock	Description of Foreign Components of Item	Unit Procurement Cost of Foreign Components
----------	-------------	--	--	---	---

<sup>1</sup>For "Type of Waiver Requested," See 49 U.S.C. 5323(j)(2). List Type A, B, C, or D.

Name of SUBRECIPIENT: \_\_\_\_\_

Printed Name and Title of Signatory: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**NOTE: COMPLETE EITHER ALTERNATIVE A OR B. DO NOT COMPLETE BOTH.**